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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/704,968	11/02/2000	Tetsuo Shianuma	097929-4689

David R Metzger
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Chicago, IL 60606-1080

FORMALITIES LETTER



OC000000005720552

Date Mailed: 01/31/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/704,968	11/02/2000	1625	790	097929-4689	7	10	4

FILING RECEIPT



OC000000005720551

David R Metzger
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Date Mailed: 01/31/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tetsuo Shianuma, Kanagawa, JAPAN;
Yasunori Kijima, Tokyo, JAPAN;
Nobutoshi Asai, Kanagawa, JAPAN;
Shinichiro Tamura, Tokyo, JAPAN;

Continuing Data as Claimed by Applicant

Foreign Applications

JAPAN P11-312071 11/02/1999

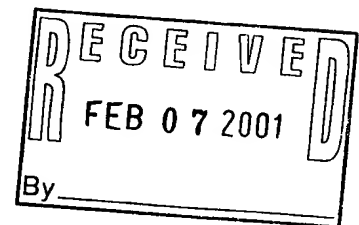
If Required, Foreign Filing License Granted 01/30/2001

Title

Barthophenanthroline compound and process for preparing same

Preliminary Class

546



SECTOR\$



BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Tetsuo Shianuma, et al. ATTY. DOCKET NO. 09792909-4689
SERIAL NO. 09/704,968 GROUP ART UNIT: 1625
DATE FILED: November 2, 2000 EXAMINER:
INVENTION: "BATHOPHENATHROLINE COMPOUND AND PROCESS FOR
PREPARING SAME"

SUBMISSION OF MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents
Washington, D.C. 20231

S I R:

In response to the Notification of Missing Parts dated January 31, 2001, the undersigned submits an executed Oath and Declaration as executed by the inventors on March 7, 8 and 9, 2001.

A check in the amount of \$130.00 to cover the submission fee is enclosed. However, the Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

(Reg. No. 32,919)

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CERTIFICATE OF MAILING

I hereby certify that a true copy of the foregoing Submission of Missing Parts was forwarded to the United States Patent Office via U.S. First Class mail on March 23, 2001.